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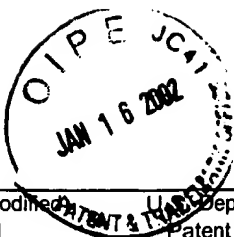
Date Mailed: 10-17-01	Atty/Sec: EJRN/m	Filing Date: August 1, 2001
Application No.: 09/921,265		Docket No.: 5339
Applicant(s): Warwick Ford		

Title: Countering Credentials Copying

Please imprint Patent Office "date stamp" hereon to indicate receipt and return card to addressee.

- | | |
|--|--|
| <input type="checkbox"/> ___ pages of Specification, Claims & Abstract | <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). |
| <input type="checkbox"/> ___ sheets of formal drawings | |
| <input type="checkbox"/> Provisional Application Cover Sheet | <input checked="" type="checkbox"/> Response to Notice Of Missing Parts |
| <input type="checkbox"/> New Utility Application Transmittal | <input type="checkbox"/> Request to Correct Filing Receipt |
| <input type="checkbox"/> Transmittal | <input type="checkbox"/> Request for Correction of Recorded Assignment |
| <input checked="" type="checkbox"/> Fee Transmittal (in duplicate) | <input type="checkbox"/> IDS, PTO-1449, and cited references |
| <input checked="" type="checkbox"/> Declaration | <input type="checkbox"/> Issue Fee Transmittal |
| <input type="checkbox"/> Assignment & Recordation Cover Sheet | <input type="checkbox"/> Letter to Chief Draftsperson |
| <input type="checkbox"/> Power of Attorney | <input type="checkbox"/> Formal Drawings: ___ sheets |
| <input type="checkbox"/> CPA Request Transmittal | <input type="checkbox"/> Request for Certificate of Correction |
| <input type="checkbox"/> Application Data Sheet | <input type="checkbox"/> Notice of Appeal |
| <input checked="" type="checkbox"/> Check in the amount of \$ 130.00 | <input type="checkbox"/> Express Mail No. |
| <input type="checkbox"/> Amendment/Response | |

Other: copy of Notice to File Missing Parts of Nonprovisional Application



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PTO/SB/17 (10-01)(modified)

OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0002/PTO(modified)
Rev. 10/2001

FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) = **(\$ 130.00)**

Complete if Known	
Application Number	09/921,265
Filing Date	August 1, 2001
First Named Inventor	Warwick Ford
Group Art Unit	not yet known
Examiner Name	not yet known
Attorney Docket Number	21190-05339

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METHOD OF PAYMENT

FEE CALCULATION (continued)

1. The Commissioner is hereby authorized to:

- ☐ Charge the indicated fees to the below mentioned deposit account.
- ☒ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. †
- ☐ Applicant claims small entity status See 37 CFR 1.27

Deposit Account Number: 19-2555

Deposit Account Name: FENWICK & WEST LLP

A Duplicate Copy of this authorization is attached

2. ☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Other

FEE CALCULATION (fees effective 10/01/2001)

1. FILING FEE

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
101/\$740	201/\$370	Utility Filing	
106/\$330	206/\$165	Design Filing	
108/\$740	208/\$370	Reissue	
114/\$160	214/\$80	Provisional Filing	
SUBTOTAL (1)			(\$)

2. CLAIMS

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description
103/\$18	203/\$9	Claims in excess of 20
102/\$84	202/\$42	Independent claims in excess of 3
104/\$280	204/\$140	Multiple dependent claim
109/\$84	209/\$42	Reissue independent claims over original patent
110/\$18	210/\$9	Reissue claims in excess of 20 and over original patent

3. ADDITIONAL FEES

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
105/\$130	205/\$65	Surcharge - late filing fee or oath	130
127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet	
147/\$2,520	147/\$2,520	For filing a request for reexamination	
115/\$110	215/\$55	Extension for response within first month†	
116/\$400	216/\$200	Extension for response within second month†	
117/\$920	217/\$460	Extension for response within third month†	
118/\$1,440	218/\$720	Extension for response within fourth month†	
128/\$1,960	228/\$980	Extension for response within fifth month†	
119/\$320	219/\$160	Notice of Appeal	
141/\$1,280	241/\$640	Petition to revive unintentionally abandoned application	
142/\$1,280	242/\$640	Utility Issue Fee (Or Reissue)	
143/\$460	243/\$230	Design Issue Fee	
122/\$130	122/\$130	Petitions to the Commissioner	
126/\$180	126/\$180	Submission of Information Disclosure Statement	
179/\$740	279/\$370	Request for Continued Examination (RCE)	
581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)	
146/\$740	246/\$370	Filing a submission after final rejection (37 CFR 1.129(a))	
149/\$740	249/\$370	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify):			
Other fee (specify):			
SUBTOTAL (3)			(\$)130

(Col. 1)		(Col. 2)		(Col. 3)		Fee	Fee Due
For	No. of Existing Claims	Highest No. Previously Paid For		Extra**			
TOTAL		20 or 0	=		x		
INDEP		3 or 0	=		x		
[] First presentation of multiple dependent claim							

* Subtract the greater number of Col. 2

** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBTOTAL (2) (\$0)

SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	Edward J. Radio	Reg. Number	26,793
Signature	<i>Edward J. Radio</i>	Date	Oct -17, 2001

21190/05339/DOCS/1216384.1



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FENWICK & WEST

Two Palo Alto Square, Suite 800, Palo Alto, CA 94306

VENDOR: DIRECTOR OF U.S. PATENT AND

VENDOR NO.: 1025452

CHECK NO. 2719631

CHECK DATE: 10-17-01

INVOICE NO.	INVOICE DATE	DESCRIPTION	ACCOUNT NO.	FILE NO.	AMOUNT
10.17.01	10-17-01	21190.05339	01990023300000000000		130.00

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TOTAL AMOUNT

130.00

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650-494-0600

Bank of America
Northbrook, Illinois

Commercial Disbursement Account
70-2328/0719

DATE 10-17-01

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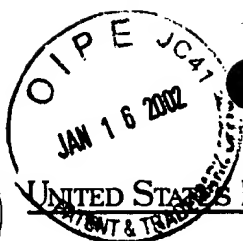
PAY ONE HUNDRED THIRTY AND 00/100 DOLLARS

AMOUNT \$ 130.00

TO THE
ORDER OF DIRECTOR OF U.S. PATENT AND

TRADEMARK OFFICE

⑈ 2719631 ⑈ ⑆071923284⑆ 87651 ⑈ 50379 ⑈ F:W 5339



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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/921,265	08/01/2001	Warwick Ford	21190-05339

00758
FENWICK & WEST LLP
TWO PALO ALTO SQUARE
PALO ALTO, CA 94306

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CONFIRMATION NO. 8690

FORMALITIES LETTER



OC000000007149846

Date Mailed: 12/03/2001

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 10/01/2001 to the Notice to File Missing Parts (Notice) mailed 09/10/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The required items noted below SHOULD be filed along with any items required above. The filing date of this nonprovisional application will be the date of receipt of the items required above.

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- Late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 was not received.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

cc: S. Rabbino (w/o encl.)



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2131 0360
PATENT

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

APPLICANT: Warwick Ford
APPLICATION NO.: 09/921,265
FILING DATE: August 1, 2001
TITLE: Countering Credentials Copying
EXAMINER: not yet known
GROUP ART UNIT: not yet known
ATTY. DKT. NO.: 21190-05339

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner For Patents, Washington, D.C. 20231, on the date shown below:

Dated: Dec 10, 2001

By: Edward Radlo
Edward J. Radlo, Reg. No.: 26,793

BOX MISSING PARTS
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

RESPONSE TO NOTICE OF INCOMPLETE REPLY
(NONPROVISIONAL)

SIR:

On December 3, 2001, the USPTO issued a Notice of Incomplete Reply (Nonprovisional). Part 2 of said Notice is enclosed herewith.

Said Notice alleged that:

1. The USPTO received Applicant's reply to the Notice to File Missing Parts on October 1, 2001. In actuality, the document that the USPTO received on October 1, 2001 was most

likely the Submission of Formal Drawings mailed to the USPTO on September 28, 2001.

2. The oath or declaration is missing. In actuality, a properly signed declaration in compliance with 37 CFR §1.63, identifying the application by the above application number and filing date, was in fact mailed to the USPTO on October 17, 2001 by the undersigned, as part of a Response to Notice to File Missing Parts of Nonprovisional Application. A copy of each document mailed to the USPTO on October 17, 2001 is enclosed herewith.

3. The late filing fee or oath or declaration surcharge as set forth in 37 CFR §1.16(e) of \$130.00 was not received by the USPTO. In actuality, said \$130.00 was sent to the USPTO along with the Response to Notice to File Missing Parts on October 17, 2001. Said payment was made by check. A copy of the check is enclosed herewith.

In view of the above confusion exhibited by the USPTO, the USPTO is requested to rescind the Notice of Incomplete Reply (Nonprovisional).

Respectfully submitted,

date of signature:

Dec 10, 2001



Edward J. Radlo
Reg. No. 26,793
Attorney for Applicant

Fenwick & West LLP
Two Palo Alto Square
Palo Alto, CA 94306
Tel.: (650) 858-7142
enclosures
cc: S. Rabbino (w/encl.)